

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. PA125 First Inventor Rosen et al. Title Nucleic Acids, Proteins, and Antibodies Express Mail Label No.																	
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231																	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i></p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>561</u>] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u> </u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>5</u>] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) </p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76. (13 pages). The entire disclosure of all applications claimed for priority on the Application Data Sheet are considered a part of the disclosure of the present application and are hereby incorporated by reference in their entirety.</p> <p>17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part (CIP) of prior application No: _____ / _____ <i>Prior application information:</i> Examiner _____ Group/Art Unit: _____</p> <p>For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts</p>																			
<p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet and document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement/ Form PTO/SB/08 <input type="checkbox"/> Copies of Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: <ul style="list-style-type: none"> a. Transmittal and Submission Under 37 C F R §§ 1 824 and 1 52(e), </p>																			
<p>18. CORRESPONDENCE ADDRESS</p> <p><input checked="" type="checkbox"/> Customer Number or Bar Code Label <u>22195</u> or <input type="checkbox"/> Correspondence address below</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 25%;">NAME</td> <td colspan="3"></td> </tr> <tr> <td>ADDRESS</td> <td colspan="3"></td> </tr> <tr> <td>CITY</td> <td>STATE</td> <td>ZIP CODE</td> <td></td> </tr> <tr> <td>COUNTRY</td> <td>TELEPHONE</td> <td>FAX</td> <td></td> </tr> </table> <p>NAME (Print/Type) <u>Kenley K. Hoover</u> Registration No. (Attorney/Agent) <u>40,302</u> SIGNATURE <u>Kenley K. Hoover (3078) da/kenley</u> Date <u>17/10/01</u></p>				NAME				ADDRESS				CITY	STATE	ZIP CODE		COUNTRY	TELEPHONE	FAX	
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Rosen et al.

Application Serial No.: Unassigned

Group Art Unit: Unassigned

Filed: Herewith

Examiner: Unassigned

For: Nucleic Acids, Proteins, and Antibodies

Attorney Docket No.: PA125

**TRANSMITTAL AND SUBMISSION UNDER
37 C.F.R. §§ 1.824 and 1.52(e)**

Commissioner For Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.821(e) and 1.824, Applicants submit herewith a Sequence Listing satisfying the computer readable format requirements on a compact disc (CD-R) labeled "CRF." Applicants submit concurrently herewith a Statement Under 37 C.F.R. § 1.821.

Additionally, in accordance with 37 C.F.R. §§ 1.52(e), 1.821, and 1.823, Applicants submit herewith a Sequence Listing as an electronic document on two identical compact discs (CD-R). Applicants certify under 37 C.F.R. § 1.52(e)(4) that these two compact discs, labeled "Copy 1" and "Copy 2," are identical. These compact discs, formatted for an IBM-PC machine and compatible with the MS-Windows operating system, contain the following files:

Document	File Name	Size in bytes	Date of Creation
Sequence Listing	PA125_seqListing.txt	1,562,087	01/16/2001
V Viewer Setup File	SetupDLL.exe	695,808	12/19/2000
V Viewer Help File Controller	v.cnt	7,984	01/05/2001
V Viewer Program File	v.exe	753,664	12/19/2000
V Viewer Help File	v.hlp	447,766	01/05/2001

Pursuant to 37 C.F.R. § 1.52(e)(3)(ii), Applicants note that the Sequence Listing may be viewed on an IBM-PC machine running the MS-Windows operating system by using the V viewer software, licensed by HGS, Inc., included on the compact discs (see World Wide Web URL: <http://www.fileviewer.com>). To use the V viewer, the SetupDLL.exe file must first be executed to install required files. The V viewer can then be

opened by executing the v.exe file from the compact disc. Once open, the V viewer can be used to open and view the Sequence Listing and Tables from the compact disc. Further instructions for use of the V viewer may be obtained using the Help function from within the V viewer software.

Date: April 7, 2001

Respectfully submitted,
Kenley K. Hoover (Reg. No. 40,302)
LAW
Kenley K. Hoover (Reg. No. 40,302)
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Telephone: (301) 610-5771

KKH/mjh
Enclosures

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STATEMENT UNDER 37 C.F.R. § 1.821

Assistant Commissioner For Patents
Washington, D.C. 20231

Sir:

Applicants hereby certify under 37 C.F.R. §1.821(f), that the content of the sequence listing information recorded in computer-readable form submitted herewith on compact disc (CD-R) is identical to the written (on compact disc (CD-R)) sequence listing.

Respectfully submitted,
Kenley K. Hoover (Reg. No. 40,302)

Date Jan 17, 2001 for
Kenley K. Hoover (Reg. No. 40,302)
Agent for Applicants

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Enclosures